



COMPLIANCE OPEN WEBINAR

October 20, 2022, 2:00 PM MT





Compliance Open Webinar

October 20, 2022

Mailee Cook
Training and Outreach
Specialist



RESOURCE ADEQUACY

DISCUSSION SERIES



October 25 & 26, 2022

RELIABILITY & SECURITY

Workshop - Scottsdale, Arizona



Antitrust Policy

- All WECC meetings are conducted in accordance with the WECC Antitrust Policy and the NERC Antitrust Compliance Guidelines
- All participants must comply with the policy and guidelines
- This meeting is public—confidential or proprietary information should not be discussed in open session

Antitrust Policy

- This webinar is being recorded and will be posted publicly
- By participating, you give your consent for your name, voice, image, and likeness to be included in that recording
- WECC strives to ensure the information presented today is accurate and reflects the views of WECC
- However, all interpretations and positions are subject to change
- If you have any questions, please contact WECC's legal counsel

How to Participate

 Send questions via chat to **WECC Meetings**

 Use the **Raise Hand** feature and share

Agenda

Align Audit Experience

Eric Olson, SMUD Internal Controls Program Manager

Rebecca Rodriguez, SMUD Regulatory Compliance Analyst

Holly Peterson, WECC Entity Monitoring Operations Lead and Senior Auditor

Daniel Shiramizu, WECC Compliance Program Coordinator

Align Update

Duane Cooke, Senior Data Analyst, Oversight Planning



Align Audit Experience

October 20, 2022

Eric Olson, SMUD
Internal Controls Program
Manager

Daniel Shiramizu, WECC
Compliance Program
Coordinator

Rebecca Rodriguez,
SMUD
Regulatory Compliance
Analyst

Holly Peterson, WECC
Entity Monitoring
Operations Lead and
Senior Auditor

Align and SEL Background

- CMEP Technology Project
- Enterprise-level program
 - Align
 - Single, common portal for entities
 - Improving analytics and standardizing processes
 - SEL
 - Enhances security in evidence collection
 - Supports effective data and information handling security practices

Audit Engagement Pilots

- Align Release 3
- Pilot Audit Engagements
 - 2022
 - 2023

Audit Experience Discussion





Contact:

Eric Olson — eric.olson@smud.org

Rebecca Rodriguez — rebecca.rodriquez@smud.org

Holly Peterson — hpeterson@wecc.org

Daniel Shiramizu — dshiramizu@wecc.org



Align Update

October 20, 2022

Duane Cooke
Sr. Data Analyst

OEA Migration

- Migration of Open Enforcement Actions (OEA) from webCDMS to Align is complete
- Disregard any webCDMS OEA-related automatic notifications sent from Align during the migration process
 - Align notifications sent September 16–22 that referenced an ID using the earlier format, i.e., WECCxxxxxxxxxx
 - See letter sent to affected entities on September 15

webCDMS Retirement (U.S.)

- Working on plans to disable webCDMS for all U.S. entities
- Download or export any files or information you wish to retain
 - See [webCDMS Download Guide](#)
 - Send questions to align@wecc.org
- U.S. retirement will not affect webCDMS access for international entities

Audit and IRA/COP

- Plan to conduct audit and IRA/COP pilots in Align during Q1 and Q2, 2023
 - Additional audit pilots
 - Initial IRA/COP pilots
- Will notify entities participating in pilots

Canadian Entities

- Continuing to work with NERC on development and rollout of Align for Canadian entities—2023 time frame
 - Training
 - Data migration
 - webCDMS retirement

ERO Portal/CORES

- Make sure contacts are up to date
 - U.S. entities in ERO Portal/CORES
 - International entities in webCDMS
- Encourage entities to designate at least one Alternate Compliance Contact (ACC)
 - Automated notifications go to the Primary Compliance Contact (PCC) and any ACCs

Resources

- Questions: align@wecc.org
- Technical Support: support.nerc.net
- [Align homepage](#)
- [webCDMS Download Guide](#)



Contact:

Duane Cooke

Sr. Data Analyst

dcooke@wecc.org



COMPLIANCE OPEN WEBINAR

EXTENDED — November 17, 2022, 2:00 PM MT

